

JUN 02 2005

TRANSMITTAL FORM

Application Serial Number	10/810,504
Filing Date	March 26, 2004
First Named Inventor	Krupa
Group Art Unit	2875
Examiner Name	Sawhney, Hargobind
Attorney Docket No.	OPT-007
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

- | | | |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Check Attached
<input checked="" type="checkbox"/> Copy of Fee Transmittal Form

<input checked="" type="checkbox"/> Amendment/Response
<input checked="" type="checkbox"/> Preliminary (6 pgs.)
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input type="checkbox"/> Information Disclosure Statement
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<input type="checkbox"/> Copies of IDS Citation Labeled C13

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<input type="checkbox"/> Paper Copy/CD
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<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)

<input type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal

<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> CD(s) for large table or computer program

<input type="checkbox"/> Amendment After Allowance

<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences

<input type="checkbox"/> Appeal Brief (in triplicate)

<input type="checkbox"/> Status Inquiry

<input checked="" type="checkbox"/> Return Receipt Postcard

<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8

<input type="checkbox"/> Additional Enclosure(s) (please identify below)
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CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 31st day of May, 2005.

Jamie Crystal-Lowry
Jamie Crystal-Lowry

CORRESPONDENCE ADDRESS

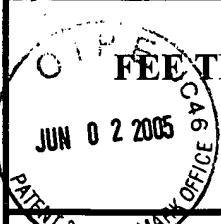
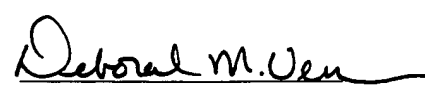
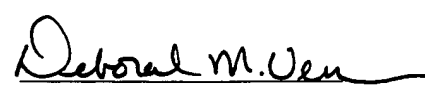
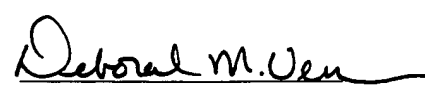
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SIGNATURE BLOCK

Date: May 31, 2005
Reg. No.: 55,699
Tel. No.: (617) 526-9836
Fax No.: (617) 526-9899

Respectfully submitted,

Deborah M. Vernon
Deborah M. Vernon
Agent for the Applicant(s)
Proskauer Rose LLP
One International Place
22nd Floor
Boston, MA 02110-2600

<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">  <p>FEE TRANSMITTAL FY 2005</p> </div> <div style="border: 1px solid black; padding: 2px;"> <i>Complete if Known</i> </div> </div>																																																																																																																																																																																																
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<input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 50-3081		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity</th> <th style="width: 15%;">Small Entity</th> <th style="width: 55%;">Fee Description</th> <th style="width: 15%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">130</td> <td style="text-align: center;">65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td style="text-align: center;">50</td> <td style="text-align: center;">25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td style="text-align: center;">130</td> <td style="text-align: center;">130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td style="text-align: center;">2,520</td> <td style="text-align: center;">2,520</td> <td>Request for ex parte re-examination</td> <td></td> </tr> <tr> <td style="text-align: center;">120</td> <td style="text-align: center;">60</td> <td>Extension for reply within 1st mo.</td> <td></td> </tr> <tr> <td style="text-align: center;">450</td> <td style="text-align: center;">225</td> <td>Extension for reply within 2nd mo.</td> <td></td> </tr> <tr> <td style="text-align: center;">1,020</td> <td style="text-align: center;">510</td> <td>Extension for reply within 3rd mo.</td> <td></td> </tr> <tr> <td style="text-align: center;">1,590</td> <td style="text-align: center;">795</td> <td>Extension for reply within 4th mo.</td> <td></td> </tr> <tr> <td style="text-align: center;">2,160</td> <td style="text-align: center;">1,080</td> <td>Extension for reply within 5th mo.</td> <td></td> </tr> <tr> <td style="text-align: center;">500</td> <td style="text-align: center;">250</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td style="text-align: center;">500</td> <td style="text-align: center;">250</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td style="text-align: center;">1,000</td> <td style="text-align: center;">500</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td style="text-align: center;">400</td> <td style="text-align: center;">0</td> <td>Petitions to the Director</td> <td></td> </tr> <tr> <td style="text-align: center;">180</td> <td style="text-align: center;">180</td> <td>Submission of IDS</td> <td></td> </tr> <tr> <td style="text-align: center;">790</td> <td style="text-align: center;">395</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td style="text-align: center;">790</td> <td style="text-align: center;">395</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td style="text-align: center;">100</td> <td style="text-align: center;">100</td> <td>Certificate of Correction for applicant's error</td> <td></td> </tr> <tr> <td style="text-align: center;">110</td> <td style="text-align: center;">55</td> <td>Submission of Terminal Disclaimer</td> <td></td> </tr> <tr> <td colspan="2" style="padding: 5px;"> <input checked="" type="checkbox"/> Applicant claims small entity status. </td> <td colspan="3" style="padding: 5px;"> Other fee (Specify) _____ </td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 5px;">FEE CALCULATION</td> <td colspan="3" style="padding: 5px;"> Other fee (Specify) _____ </td> </tr> <tr> <td colspan="2" style="padding: 5px;"> 1. 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3. APPLICATION SIZE FEE <p>If the specification and drawing exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Total Sheets</th> <th style="width: 15%;">Extra Sheets</th> <th style="width: 20%;">Additional 50 or fraction thereof</th> <th style="width: 15%;">Fee (\$)</th> <th style="width: 15%;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">-100 =</td> <td style="text-align: center;">/50 =</td> <td style="text-align: center;">round up to a whole number</td> <td style="text-align: center;">x</td> <td style="text-align: center;">=</td> </tr> <tr> <td colspan="4" style="text-align: right;">3. TOTAL:</td> <td style="text-align: center;">0</td> </tr> </tbody> </table>		Total Sheets	Extra Sheets	Additional 50 or fraction thereof	Fee (\$)	Fee Paid	-100 =	/50 =	round up to a whole number	x	=	3. TOTAL:				0	SIGNATURE BLOCK																																																																																																																																																																															
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CORRESPONDENCE ADDRESS <p>Direct all correspondence to:</p> <p style="margin-left: 20px;"> Patent Administrator Proskauer Rose LLP One International Place, 22nd Floor Boston, MA 02110 Tel. No.: (617) 526-9600 Fax No.: (617) 526-9899 </p>		<p style="text-align: right;">Respectfully submitted,</p> <p style="text-align: right;">  Deborah M. Vernon Agent for the Applicants Proskauer Rose LLP One International Place 22nd Floor Boston, MA 02110 </p> <p>Date: May 31, 2005 Reg. No.. 55,699 Tel. No.: (617) 526-9836 Fax No.: (617) 526-9899</p>																																																																																																																																																																																														



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Krupa et al. CONFIRMATION NO.: 8172
SERIAL NO.: 10/810,504 GROUP NO.: 2875
FILING DATE: March 26, 2004 EXAMINER: Sawhney, Hargobind
TITLE: COMPACT HIGH-EFFICIENCY, HIGH POWER SOLID STATE LIGHT
SOURCE USING A SINGLE SOLID STATE LIGHT-EMITTING DEVICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Please enter the following Preliminary Amendment before beginning examination of the above-identified patent application. The Commissioner is hereby authorized to charge \$350.00 in additional claims fees to Attorney's Deposit Account No. 50-3081.

Applicants respectfully request entry of this Preliminary Amendment, in which:

- **Amendments to the Claims** begin on page 2.
- **Remarks** begin on page 6.
- **Conclusion** begins on page 6.

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01 FC:2201 200.00 DA
02 FC:2202 150.00 DA

6.3.2005